# BCS Theory of Superconductivity

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# What is BCS Theory?



"for their jointly developed theory of superconductivity, usually called the BCS-theory"



Original publication: Phys. Rev. 108, 1175 (1957)

# What is BCS Theory?

#### • First "working" microscopic theory for superconductors.

- It's a mean-field theory.
- In it's original form only applied for conventional superconductors.

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# Outline

#### Cooper-Pairs

- Formation of Pairs
- Origin of Attractive Interaction

#### 2 BCS Theory

- The model Hamiltonian
- Bogoliubov-Valatin-Transformation
- Calculation of the condensation energy

#### 3 Finite Temperatures

- Excitation Energies and the Energy Gap
- Determination of  $T_c$
- Temperature dependence of the energy gap
- Thermodynamic quantities

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Formation of Pairs Origin of Attractive Interaction

### Formation of Pairs

Let's assume the following things:

- Consider a material with a filled Fermi sea at T = 0.
- Add two more electrons that
  - interact attractively with each other but
  - don't interact with the other electrons except via Pauli-prinziple.

Formation of Pairs Origin of Attractive Interaction

### Formation of Pairs

Look for the groundstate wavefunction for the two added electrons, which has zero momentum:

$$\Psi_{0}(\mathbf{r}_{1},\mathbf{r}_{2}) = \sum_{\mathbf{k}} \left( g_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{r}_{1}} e^{-i\mathbf{k}\cdot\mathbf{r}_{2}} \right) \left( |\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle \right)$$

The total wavefunction has to be antisymmetric with respect to exchange of the two electrons. The spin part is antisymmetric and therefore the spacial part has to be symmetric.

$$\Rightarrow g_{\mathbf{k}} \stackrel{!}{=} g_{-\mathbf{k}}.$$

Formation of Pairs Origin of Attractive Interaction

### Formation of Pairs

Inserting this into the Schrödinger equation of the problem leads to the following equation for the determination of the coefficients  $g_k$  and the energy eigenvalue E:

$$(E-2\epsilon_{\mathbf{k}})g_{\mathbf{k}}=\sum_{k>k_{F}}V_{\mathbf{kk}'}g_{\mathbf{k}'},$$

where

$$V_{\mathbf{k}\mathbf{k}'} = \frac{1}{\Omega} \int V(\mathbf{r}) e^{i(\mathbf{k}'-\mathbf{k})\cdot\mathbf{r}} d\mathbf{r}$$

(r: distance between the two electrons,  $\Omega$ : normalization volume,  $\epsilon_k$ : unperturbated plane-wave energies).

Formation of Pairs Origin of Attractive Interaction

### Formation of Pairs

#### Since it is hard to analyze the situation for general $V_{\mathbf{k}\mathbf{k}'}$ , assume:

$$V_{\mathbf{k}\mathbf{k}'} = \begin{cases} -V & \text{, } E_F < \epsilon_{\mathbf{k}} < E_F + \hbar\omega_c \\ 0 & \text{, otherwise} \end{cases}$$

with  $\hbar\omega_c$  a cutoff energy away from  $E_F$ .

Formation of Pairs Origin of Attractive Interaction

#### Formation of Pairs

With this approximation we get:

$$\frac{1}{V} = \sum_{k>k_F} \frac{1}{2\epsilon_{\mathbf{k}} - E} = N(0) \int_{E_F}^{E_F + \hbar\omega_c} \frac{d\epsilon}{2\epsilon - E}$$
$$= \frac{1}{2}N(0) \ln\left(\frac{2E_F - E + 2\hbar\omega_c}{2E_F - E}\right).$$

If  $N(0)V \ll 1$ , we can solve approximativly for the energy E

$$E\approx 2E_F-2\hbar\omega_c e^{-\frac{2}{N(0)V}}<2E_F.$$

Formation of Pairs Origin of Attractive Interaction

# Origin of Attractive Interaction

Negative terms come in when one takes the motion of the ion cores into account, e.g. considering electron-phonon interactions. The physical idea is that

- the first electron polarizes the medium by attracting positive ions;
- these excess positive ions in turn attract the second electron, giving an effective attractive interaction between the electrons.

The model Hamiltonian Bogoliubov-Valatin-Transformation Calculation of the condensation energy

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The model Hamiltonian Bogoliubov-Valatin-Transformation Calculation of the condensation energy

# BCS Theory

Having seen that the Fermi sea is unstable against the formation of a bound Cooper pair when the net interaction is attractive, we must then expect pairs to condense until an equilibrium point is reached.

We need a smart way to write down antisymmetric wavefunctions for many electrons. This will be done in the language of **second quantization**.

The model Hamiltonian Bogoliubov-Valatin-Transformation Calculation of the condensation energy

# BCS Theory

Introduce the creation operator  $c_{\mathbf{k}\sigma}^{\dagger}$ , which creates an electron of momentum **k** and spin  $\sigma$ , and the correspondig annihilation operator  $c_{\mathbf{k}\sigma}$ . These operators obey the standard anticommutation relations for fermions:

$$\begin{split} \{c_{\mathbf{k}\sigma}, c_{\mathbf{k}'\sigma'}^{\dagger}\} &\equiv c_{\mathbf{k}\sigma}c_{\mathbf{k}'\sigma'}^{\dagger} + c_{\mathbf{k}'\sigma'}^{\dagger}c_{\mathbf{k}\sigma} = \delta_{\mathbf{k}\mathbf{k}'}\delta_{\sigma\sigma'}\\ \{c_{\mathbf{k}\sigma}, c_{\mathbf{k}'\sigma'}\} &= 0 = \{c_{\mathbf{k}\sigma}^{\dagger}, c_{\mathbf{k}'\sigma'}^{\dagger}\}. \end{split}$$

Additionally the particle number operator  $n_{\mathbf{k}\sigma}$  is defined by

$$n_{\mathbf{k}\sigma} \equiv c^{\dagger}_{\mathbf{k}\sigma} c_{\mathbf{k}\sigma}$$

The model Hamiltonian Bogoliubov-Valatin-Transformation Calculation of the condensation energy

#### The model Hamiltonian

#### We start with the so-called

pairing-hamiltonian

$$\mathcal{H} = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} n_{\mathbf{k}\sigma} + \sum_{\mathbf{k}\mathbf{l}} V_{\mathbf{k}\mathbf{l}} c_{\mathbf{k}\uparrow}^{\dagger} c_{-\mathbf{k}\downarrow}^{\dagger} c_{-\mathbf{l}\downarrow} c_{\mathbf{l}\uparrow},$$

presuming that it includes the terms that are decisive for superconductivity, although it omits many other terms which involve electrons not paired as  $(\mathbf{k} \uparrow, -\mathbf{k} \downarrow)$ .

The model Hamiltonian Bogoliubov-Valatin-Transformation Calculation of the condensation energy

#### The model Hamiltonian

We then add a term  $-\mu \mathcal{N},$  where  $\mu$  is the chemical potential, leading to

$$\mathcal{H} - \mu \mathcal{N} = \sum_{\mathbf{k}\sigma} \xi_{\mathbf{k}} n_{\mathbf{k}\sigma} + \sum_{\mathbf{k}\mathbf{l}} V_{\mathbf{k}\mathbf{l}} c_{\mathbf{k}\uparrow}^{\dagger} c_{-\mathbf{k}\downarrow}^{\dagger} c_{-\mathbf{l}\downarrow} c_{\mathbf{l}\uparrow}.$$

The inclusion of this factor is mathematically equivalent to taking the zero of kinetic energy to be at  $\mu$  (or  $E_F$ ).

The model Hamiltonian Bogoliubov-Valatin-Transformation Calculation of the condensation energy

# Bogoliubov-Valatin-Transformation

#### Define:

$$b_{\mathbf{k}} \equiv \langle c_{-\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow} \rangle$$

Because of the large number of particles involved, the fluctuations of  $c_{-\mathbf{k}\downarrow}c_{\mathbf{k}\uparrow}$  about these expectations values  $b_{\mathbf{k}}$  should be small. Therefor express such products of operators formally as

$$c_{-\mathbf{k}\downarrow}c_{\mathbf{k}\uparrow} = b_{\mathbf{k}} + (c_{-\mathbf{k}\downarrow}c_{\mathbf{k}\uparrow} - b_{\mathbf{k}})$$

and neglect quantities which are bilinear in the presumably small fluctuation term in parentheses.

The model Hamiltonian Bogoliubov-Valatin-Transformation Calculation of the condensation energy

# Bogoliubov-Valatin-Transformation

#### Inserting this in our pairing Hamiltonian we obtain the so-called

model-hamiltonian

$$\mathcal{H}_{M} - \mu \mathcal{N} = \sum_{\mathbf{k}\sigma} \xi_{\mathbf{k}} c_{\mathbf{k}\sigma}^{\dagger} c_{\mathbf{k}\sigma} + \sum_{\mathbf{k}\mathbf{l}} V_{\mathbf{k}\mathbf{l}} (c_{\mathbf{k}\uparrow}^{\dagger} c_{-\mathbf{k}\downarrow}^{\dagger} b_{\mathbf{l}} + b_{\mathbf{k}}^{*} c_{-\mathbf{l}\downarrow} c_{\mathbf{l}\uparrow} - b_{\mathbf{k}}^{*} b_{\mathbf{l}})$$

where the  $b_{\mathbf{k}}$  are to be determined self-consistently.

The model Hamiltonian Bogoliubov-Valatin-Transformation Calculation of the condensation energy

# Bogoliubov-Valatin-Transformation

#### Defining further

$$\Delta_{\mathbf{k}} = -\sum_{\mathbf{l}} V_{\mathbf{k}\mathbf{l}} b_{\mathbf{l}} = -\sum_{\mathbf{l}} V_{\mathbf{k}\mathbf{l}} \left\langle c_{-\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow} 
ight
angle$$

leads to the following form of the

#### model-hamiltonian

$$\mathcal{H}_{M} - \mu \mathcal{N} = \sum_{\mathbf{k}\sigma} \xi_{\mathbf{k}} c_{\mathbf{k}\sigma}^{\dagger} c_{\mathbf{k}\sigma} - \sum_{\mathbf{k}} (\Delta_{\mathbf{k}} c_{\mathbf{k}\uparrow}^{\dagger} c_{-\mathbf{k}\downarrow}^{\dagger} + \Delta_{\mathbf{k}}^{*} c_{-\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow} - \Delta_{\mathbf{k}} b_{\mathbf{k}}^{*})$$

The model Hamiltonian Bogoliubov-Valatin-Transformation Calculation of the condensation energy

# Bogoliubov-Valatin-Transformation

This hamiltonian can be diagonalized by a suitable linear transformation to define new Fermi operators  $\gamma_k$ :

#### Bogoliubov-Valatin-Transformation

$$\begin{array}{rcl} c_{\mathbf{k}\uparrow} &=& u_{\mathbf{k}}^*\gamma_{\mathbf{k}\uparrow} + v_{\mathbf{k}}\gamma_{-\mathbf{k}\downarrow}^{\dagger} \\ c_{-\mathbf{k}\downarrow}^{\dagger} &=& -v_{\mathbf{k}}^*\gamma_{\mathbf{k}\uparrow} + u_{\mathbf{k}}\gamma_{-\mathbf{k}\downarrow}^{\dagger} \end{array}$$

with  $|u_{\mathbf{k}}|^2 + |v_{\mathbf{k}}|^2 = 1$ . Our "job" is now to determine the values of  $v_{\mathbf{k}}$  and  $u_{\mathbf{k}}$ .

The model Hamiltonian Bogoliubov-Valatin-Transformation Calculation of the condensation energy

### Bogoliubov-Valatin-Transformation

Inserting these operators in the model-hamiltonian gives

$$\begin{aligned} \mathcal{H}_{M} - \mu \mathcal{N} &= \sum_{\mathbf{k}} \xi_{\mathbf{k}} \left( (|u_{\mathbf{k}}|^{2} - |v_{\mathbf{k}}|^{2}) (\gamma_{\mathbf{k}\uparrow}^{\dagger} \gamma_{\mathbf{k}\uparrow} + \gamma_{-\mathbf{k}\downarrow}^{\dagger} \gamma_{-\mathbf{k}\downarrow}) \right. \\ &+ 2|v_{\mathbf{k}}|^{2} + 2u_{\mathbf{k}}^{*} v_{\mathbf{k}}^{*} \gamma_{-\mathbf{k}\downarrow} \gamma_{\mathbf{k}\uparrow} + 2u_{\mathbf{k}} v_{\mathbf{k}} \gamma_{\mathbf{k}\uparrow}^{\dagger} \gamma_{-\mathbf{k}\downarrow}^{\dagger} \right) \\ &+ \sum_{\mathbf{k}} \left( (\Delta_{\mathbf{k}} u_{\mathbf{k}} v_{\mathbf{k}}^{*} + \Delta_{\mathbf{k}}^{*} u_{\mathbf{k}}^{*} v_{\mathbf{k}}) (\gamma_{\mathbf{k}\uparrow}^{\dagger} \gamma_{\mathbf{k}\uparrow} + \gamma_{-\mathbf{k}\downarrow}^{\dagger} \gamma_{-\mathbf{k}\downarrow} - 1) \right. \\ &+ (\Delta_{\mathbf{k}} v_{\mathbf{k}}^{*2} - \Delta_{\mathbf{k}}^{*} u_{\mathbf{k}}^{*2}) \gamma_{-\mathbf{k}\downarrow} \gamma_{\mathbf{k}\uparrow} \\ &+ (\Delta_{\mathbf{k}}^{*} v_{\mathbf{k}}^{2} - \Delta_{\mathbf{k}} u_{\mathbf{k}}^{2}) \gamma_{\mathbf{k}\uparrow}^{\dagger} \gamma_{-\mathbf{k}\downarrow}^{\dagger} + \Delta_{\mathbf{k}} b_{\mathbf{k}}^{*} \right). \end{aligned}$$

The model Hamiltonian Bogoliubov-Valatin-Transformation Calculation of the condensation energy

# Bogoliubov-Valatin-Transformation

Choose  $u_{\mathbf{k}}$  and  $v_{\mathbf{k}}$  so that the coefficients of  $\gamma_{-\mathbf{k}\downarrow}\gamma_{\mathbf{k}\uparrow}$  and  $\gamma_{\mathbf{k}\uparrow}^{\dagger}\gamma_{-\mathbf{k}\downarrow}^{\dagger}$  vanish.

$$\Rightarrow 2\xi_{\mathbf{k}}u_{\mathbf{k}}v_{\mathbf{k}} + \Delta_{\mathbf{k}}^{*}v_{\mathbf{k}}^{2} - \Delta_{\mathbf{k}}u_{\mathbf{k}}^{2} = 0 \left| \frac{\Delta_{\mathbf{k}}^{*}}{u_{\mathbf{k}}^{2}} \right|^{2}$$
$$\Rightarrow \left(\frac{\Delta_{\mathbf{k}}^{*}v_{\mathbf{k}}}{u_{\mathbf{k}}}\right)^{2} + 2\xi_{\mathbf{k}}\left(\frac{\Delta_{\mathbf{k}}^{*}v_{\mathbf{k}}}{u_{\mathbf{k}}}\right) - |\Delta_{\mathbf{k}}|^{2} = 0$$
$$\Rightarrow \frac{\Delta_{\mathbf{k}}^{*}v_{\mathbf{k}}}{u_{\mathbf{k}}} = \sqrt{\xi_{\mathbf{k}}^{2} + |\Delta_{\mathbf{k}}|^{2}} - \xi_{\mathbf{k}} \equiv E_{\mathbf{k}} - \xi_{\mathbf{k}}$$

The model Hamiltonian Bogoliubov-Valatin-Transformation Calculation of the condensation energy

# Bogoliubov-Valatin-Transformation

#### This gives us an equation for the $v_k$ and $u_k$ as

$$|v_{\mathbf{k}}|^2 = 1 - |u_{\mathbf{k}}|^2 = \frac{1}{2} \left( 1 - \frac{\xi_{\mathbf{k}}}{E_{\mathbf{k}}} \right).$$

The model Hamiltonian Bogoliubov-Valatin-Transformation Calculation of the condensation energy

# The BCS ground state

BCS took as their form for the ground state

$$|\Psi_G
angle = \prod_{\mathbf{k}} (u_{\mathbf{k}} + v_{\mathbf{k}} c^{\dagger}_{\mathbf{k}\uparrow} c^{\dagger}_{-\mathbf{k}\downarrow}) |0
angle$$

where  $|u_{\mathbf{k}}|^2 + |v_{\mathbf{k}}|^2 = 1$ . This form implies that the probability of the pair  $(\mathbf{k}\uparrow, -\mathbf{k}\downarrow)$  being occupied is  $|v_{\mathbf{k}}|^2$ , whereas the probability that it is unoccupied is  $|u_{\mathbf{k}}|^2 = 1 - |v_{\mathbf{k}}|^2$ . Note:  $|\Psi_G\rangle$  is the vacuum state for the  $\gamma$  operators, e.g.

$$\gamma_{\mathbf{k}\uparrow} \left| \Psi_{G} \right\rangle = \mathbf{0} = \gamma_{-\mathbf{k}\downarrow} \left| \Psi_{G} \right\rangle$$

The model Hamiltonian Bogoliubov-Valatin-Transformation Calculation of the condensation energy

### Calculation of the condensation energy

We can now calculate the groundstate energy to be

$$\begin{aligned} \langle \Psi_{G} | \mathcal{H} - \mu \mathcal{N} | \Psi_{G} \rangle &= 2 \sum_{\mathbf{k}} \xi_{\mathbf{k}} v_{\mathbf{k}}^{2} + \sum_{\mathbf{k}\mathbf{l}} V_{\mathbf{k}\mathbf{l}} u_{\mathbf{k}} v_{\mathbf{k}} u_{\mathbf{l}} v_{\mathbf{l}} \\ &= \sum_{\mathbf{k}} \left( \xi_{\mathbf{k}} - \frac{\xi_{\mathbf{k}}^{2}}{E_{\mathbf{k}}} \right) - \frac{\Delta^{2}}{V} \end{aligned}$$

The energy of the normal state at T = 0 corresponds to the BCS state with  $\Delta = 0$  and  $E_{\mathbf{k}} = |\xi_{\mathbf{k}}|$ . Thus

$$\left\langle \Psi_{n} \right| \mathcal{H} - \mu \mathcal{N} \left| \Psi_{n} 
ight
angle = \sum_{\left| \mathbf{k} 
ight| < k_{F}} 2\xi_{\mathbf{k}}$$

The model Hamiltonian Bogoliubov-Valatin-Transformation Calculation of the condensation energy

### Calculation of the condensation energy

Thus, the condensation energy is given by

$$\begin{aligned} \langle E \rangle_{s} - \langle E \rangle_{n} &= \sum_{|\mathbf{k}| > k_{F}} \left( \xi_{\mathbf{k}} - \frac{\xi_{\mathbf{k}}^{2}}{E_{\mathbf{k}}} \right) + \sum_{|\mathbf{k}| < k_{F}} \left( -\xi_{\mathbf{k}} - \frac{\xi_{\mathbf{k}}^{2}}{E_{\mathbf{k}}} \right) - \frac{\Delta^{2}}{V} \\ &= 2 \sum_{|\mathbf{k}| > k_{F}} \left( \xi_{\mathbf{k}} - \frac{\xi_{\mathbf{k}}^{2}}{E_{\mathbf{k}}} \right) - \frac{\Delta^{2}}{V} \\ &= \left( \frac{\Delta^{2}}{V} - \frac{1}{2} N(0) \Delta^{2} \right) - \frac{\Delta^{2}}{V} = -\frac{1}{2} N(0) \Delta^{2} \end{aligned}$$

Excitation Energies and the Energy Gap Determination of  $\mathcal{T}_c$ Temperature dependence of the energy gap Thermodynamic quantities

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Excitation Energies and the Energy Gap Determination of  $T_c$ Temperature dependence of the energy gap Thermodynamic quantities

# Excitation Energies and the Energy Gap

With the above choice of the  $u_{\mathbf{k}}$  and  $v_{\mathbf{k}}$ , the model-hamiltonian becomes

$$\begin{aligned} \mathcal{H}_{M} - \mu \mathcal{N} &= \sum_{\mathbf{k}} (\xi_{\mathbf{k}} - E_{\mathbf{k}} + \Delta_{\mathbf{k}} b_{\mathbf{k}}^{*}) + \sum_{\mathbf{k}} E_{\mathbf{k}} (\gamma_{\mathbf{k}\uparrow}^{\dagger} \gamma_{\mathbf{k}\uparrow} + \gamma_{-\mathbf{k}\downarrow}^{\dagger} \gamma_{-\mathbf{k}\downarrow}). \\ E_{\mathbf{k}} &= \sqrt{\Delta_{\mathbf{k}}^{2} + \xi_{\mathbf{k}}^{2}} \end{aligned}$$

Excitation Energies and the Energy Gap Determination of  $T_c$ Temperature dependence of the energy gap Thermodynamic quantities

# Excitation Energies and the Energy Gap



Figure: Energies of elementary excitations in the normal and superconducting states as functions of  $\xi_{\mathbf{k}}$ .

Excitation Energies and the Energy Gap Determination of  $T_c$ Temperature dependence of the energy gap Thermodynamic quantities

### Excitation Energies and the Energy Gap

Inserting the  $\gamma$  operators in the definition of  $\Delta_{\mathbf{k}}$  gives

$$\begin{aligned} \Delta_{\mathbf{k}} &= -\sum_{\mathbf{l}} V_{\mathbf{k}\mathbf{l}} \langle c_{-\mathbf{l}\downarrow} \mathbf{q}_{\uparrow} \rangle \\ &= -\sum_{\mathbf{l}} V_{\mathbf{k}\mathbf{l}} u_{\mathbf{l}}^* v_{\mathbf{l}} \left\langle 1 - \gamma_{\mathbf{l}\uparrow}^{\dagger} \gamma_{\mathbf{l}\uparrow} - \gamma_{-\mathbf{l}\downarrow}^{\dagger} \gamma_{-\mathbf{l}\downarrow} \right\rangle \\ &= -\sum_{\mathbf{l}} V_{\mathbf{k}\mathbf{l}} u_{\mathbf{l}}^* v_{\mathbf{l}} (1 - 2f(E_{\mathbf{l}})) \\ &= -\sum_{\mathbf{l}} V_{\mathbf{k}\mathbf{l}} \frac{\Delta_{\mathbf{l}}}{2E_{\mathbf{l}}} \tanh \frac{\beta E_{\mathbf{l}}}{2} \end{aligned}$$

Excitation Energies and the Energy Gap Determination of  $T_c$ Temperature dependence of the energy gap Thermodynamic quantities

# Excitation Energies and the Energy Gap

Using again the approximated potential  $V_{\bf kl}=-V$ , we have  $\Delta_{\bf k}=\Delta_{\bf l}=\Delta$  and therefor

$$\frac{1}{V} = \frac{1}{2} \sum_{\mathbf{k}} \frac{\tanh(\beta E_{\mathbf{k}}/2)}{E_{\mathbf{k}}}.$$

This formula determines the critical temperature  $T_c$ !

Excitation Energies and the Energy Gap Determination of  $T_c$ Temperature dependence of the energy gap Thermodynamic quantities

# Determination of $T_c$

The critical temperature  $T_c$  is the temperature at which  $\Delta_{\mathbf{k}} \rightarrow 0$ and thus  $E_{\mathbf{k}} \rightarrow \xi_{\mathbf{k}}$ . By inserting this in the above formula, rewriting the sum as an integral and changing to a dimensionless variable we find

$$\frac{1}{N(0)V} = \int_0^{\beta_c \hbar \omega_c/2} \frac{\tanh x}{x} dx = \ln\left(\frac{2e^{\gamma}}{\pi} \beta_c \hbar \omega_c\right)$$

( $\gamma \approx$  0.577...: the Euler constant)

Excitation Energies and the Energy Gap Determination of  $T_c$ Temperature dependence of the energy gap Thermodynamic quantities

# Determination of $T_c$

#### Critical temperatur $T_c$

$$kT_c = \beta_c^{-1} \approx 1.13\hbar\omega_c e^{-1/N(0)V}$$

Excitation Energies and the Energy Gap Determination of T<sub>c</sub> Temperature dependence of the energy gap Thermodynamic quantities

# Determination of $T_c$

For small temperatures we find

$$\frac{1}{N(0)V} = \int_0^{\hbar\omega_c} \frac{d\xi}{(\xi^2 + \Delta^2)^{1/2}}$$
$$\Rightarrow \Delta = \frac{\hbar\omega_c}{\sinh(1/N(0)V)} \approx 2\hbar\omega_c e^{-1/N(0)V}$$

which shows that  $T_c$  and  $\Delta(0)$  are not independent from each other

$$\frac{\Delta(0)}{kT_c} \approx \frac{2}{1.13} \approx 1.764$$

Excitation Energies and the Energy Gap Determination of  $\mathcal{T}_{\rm C}$  Temperature dependence of the energy gap Thermodynamic quantities

Temperature dependence of the energy gap

Rewriting again

$$\frac{1}{V} = \frac{1}{2} \sum_{\mathbf{k}} \frac{\tanh(\beta E_{\mathbf{k}}/2)}{E_{\mathbf{k}}}$$

in an integral form and inserting  $E_{\mathbf{k}}$  gives

$$\frac{1}{N(0)V} = \int_0^{\hbar\omega_c} \frac{\tanh \frac{1}{2}\beta(\xi^2 + \Delta^2)^{1/2}}{(\xi^2 + \Delta^2)^{1/2}} d\xi,$$

which can be evaluated numerically.

Excitation Energies and the Energy Gap Determination of  $\mathcal{T}_{\rm C}$  Temperature dependence of the energy gap Thermodynamic quantities

#### Temperature dependence of the energy gap



Figure: Temperature dependence of the energy gap with some experimental data (Phys. Rev. **122**, 1101 (1961))

Excitation Energies and the Energy Gap Determination of  $\mathcal{T}_{\rm C}$  Temperature dependence of the energy gap Thermodynamic quantities

Temperature dependence of the energy gap

Near  $T_c$  we get

Temperature dependence of  $\Delta$ 

$$rac{\Delta(T)}{\Delta(0)} pprox 1.74 \left(1 - rac{T}{T_c}
ight)^{1/2}, \quad T pprox T_c,$$

which shows the typical square root dependence of the order parameter for a mean-field theory.

Excitation Energies and the Energy Gap Determination of  $T_c$ Temperature dependence of the energy gap Thermodynamic quantities

### Thermodynamic quantities

With  $\Delta(T)$  determined, we know the fermion excitation energies  $E_{\mathbf{k}} = \sqrt{\xi_{\mathbf{k}}^2 + \Delta(T)^2}$ . Then the quasi-particle occupation numbers will follow the Fermi-function  $f_{\mathbf{k}} = (1 + e^{\beta E_{\mathbf{k}}})^{-1}$ , which determine the

#### electronic entropy for a fermion gas

$$S_{es} = -2k \sum_{\mathbf{k}} ((1 - f_{\mathbf{k}}) \ln(1 - f_{\mathbf{k}}) + f_{\mathbf{k}} \ln f_{\mathbf{k}}).$$

Excitation Energies and the Energy Gap Determination of  $T_{\rm c}$ Temperature dependence of the energy gap Thermodynamic quantities

#### Thermodynamic quantities



Figure: Electronic entropy in the superconducting and normal state.

Excitation Energies and the Energy Gap Determination of  $\mathcal{T}_c$ Temperature dependence of the energy gap Thermodynamic quantities

### Thermodynamic quantities

Given  $S_{es}(T)$ , we find the

specific heat

$$C_{es} = -\beta \frac{dS_{es}}{d\beta} = 2\beta k \sum_{\mathbf{k}} -\frac{\partial f_{\mathbf{k}}}{\partial E_{\mathbf{k}}} \left( E_{\mathbf{k}}^2 + \frac{1}{2}\beta \frac{d\Delta^2}{d\beta} \right)$$

In the normal state we have

$$C_{en}=\frac{2\pi^2}{3}N(0)k^2T.$$

Excitation Energies and the Energy Gap Determination of  $\mathcal{T}_c$  . Temperature dependence of the energy gap Thermodynamic quantities

### Thermodynamic quantities

# We expect a jump in the specific heat from the superconducting to the normal state:

$$\Delta C = (C_{es} - C_{en})|_{T_c} = N(0) \left(\frac{-d\Delta^2}{dT}\right)\Big|_{T_c} \approx 9.4N(0)k^2 T_c$$

Excitation Energies and the Energy Gap Determination of  $T_{\rm c}$ Temperature dependence of the energy gap Thermodynamic quantities

#### Thermodynamic quantities



Figure: Experimental data for the specific heat in the superconducting and normal state (Phys. Rev. **114**, 676 (1959))

Excitation Energies and the Energy Gap Determination of  $T_c$ Temperature dependence of the energy gap Thermodynamic quantities

# Type I superconductors



Figure: Phase diagram of a Type I superconductor

Excitation Energies and the Energy Gap Determination of  $T_{\rm c}$ Temperature dependence of the energy gap Thermodynamic quantities

# Vortex-State



"for pioneering contributions to the theory of superconductors and superfluids"



Original publication: Zh. Eksperim. i Teor. Fiz. **32**, 1442 (1957) (Sov. Phys. - JETP **5**, 1174 (1957))

Excitation Energies and the Energy Gap Determination of  $\mathcal{T}_c$  . Temperature dependence of the energy gap Thermodynamic quantities

# Type I and Type II superconductors

By applying Ginzburg-Landau theory for superconductors one finds two characteristic lengths:

- (2) the Ginzburg-Landau coherence length  $\xi$ , which characterizes the distance over which  $\psi$  can vary without undue energy increase.

Excitation Energies and the Energy Gap Determination of  $T_c$ Temperature dependence of the energy gap Thermodynamic quantities

# Type I and Type II superconductors

#### Define

Ginzburg-Landau parameter

$$\kappa \equiv \frac{\lambda}{\xi}$$

By linearizing the GL equations near  $T_c$  one can find:

$$\kappa < rac{1}{\sqrt{2}}$$
: Type I superconductor  $\kappa > rac{1}{\sqrt{2}}$ : Type II superconductor

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### Type II superconductors



Figure: Phase diagram of a Type II superconductor

Outlines	Excitation Energies and the Energy Gap
Cooper-Pairs	Determination of $T_c$
BCS Theory	Temperature dependence of the energy gap
Finite Temperatures	Thermodynamic quantities

As a solution of the GL equation, one could find the following form of the orderparameter:

$$\Psi(x,y) = \frac{1}{N} \sum_{n=-\infty}^{\infty} \exp\left(\frac{\pi(ixy-y^2)}{\omega_1 \Im \omega_2} + i\pi n + \frac{i\pi(2n+1)}{\omega_1}(x+iy) + i\pi \frac{\omega_2}{\omega_1}n(n+1)\right)$$

$$\mathcal{N} = \left(\frac{\omega_1}{2\Im\omega_2}\exp\left(\pi\frac{\Im\omega_2}{\omega_1}\right)\right)^{1/4}$$

Excitation Energies and the Energy Gap Determination of  $\mathcal{T}_c$  Temperature dependence of the energy gap Thermodynamic quantities

# Vortex-State



Figure: Square and triangle symmetric state of the vortex lattice in a density plot of  $|\Psi|^2.$ 

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# Vortex-State



Figure: Square and triangle symmetric state of the vortex lattice in a 3D plot.

Excitation Energies and the Energy Gap Determination of  $\mathcal{T}_c$  Temperature dependence of the energy gap Thermodynamic quantities

# Summary

- An attractive interaction between electrons will result in forming bound Cooper pairs.
- The model-hamiltonian can be diagonalized using a Bogoliubov-Valatin-Transformation.
- The order parameter in a superconductor is the energy-gap  $\Delta$ .
- BCS-Theory gives a prediction of the critical temperature  $T_c$ and the energy gap  $\Delta(T)$ .
- Vortices will be observed in Type II superconductors.

Excitation Energies and the Energy Gap Determination of  $T_c$ Temperature dependence of the energy gap Thermodynamic quantities

### The END

Thank you for your attention!

Are there any questions?